Under the Paperwork Reduction Act of 1995, no		Application Number	information unless it displays a valid OMB control number 09/954,634
TRANSMITTAL	•	Filing Date	September 12, 2001 RE
FORM		First Named Inventor	Pustejovsky, James D.
(to be used for all correspondence after in	nitial filing)	Group Art Unit	2122 MA
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Total Number of Pages in This Submission	· T	Attorney Docket Numbe	**************************************
	ENCLO	OSURES (check all that appl	0
Fee Transmittal Form	Assign	ment Papers Application)	After Allowance Communication to Group
Fee Attached	☐ Drawin	g(s)	Appeal Communication to Board of Appeals and Interferences
Amendment / Response	Licensi	ing-related Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
After Final		n Routing Slip (PTO/SB/69) companying Petition	Proprietary Information
Affidavits/declaration(s)		n to Convert to a lonal Application	Status Letter
Extension of Time Request		of Attorney, Revocation e of Correspondence Addres	S Other Enclosure(s) (please identify below):
Express Abandonment Request		al Disclaimer st for Refund	Return Postcard Copies of six (6) cited references
Second Information Disclosure Statement	CD, Nu	ımber of CD(s)	
Certified Copy of Priority Document(s)	Rema		r is authorized to charge any additional fees to 0-1430.
Response to Missing Parts/ Incomplete Application		.	
Response to Missing Parts under 37 CFR 1.52 or 1.53			
		APPLICANT, ATTORNEY	, OR AGENT
Firm Townsend and Townsend	wnsend and	Crew LLP	

CERTIFICATE OF MAILING

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Typed or printed name Nina L. McNeill

Signature

April 24, 2002 Date

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Attorney Docket No.: 019497-000610US

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TOWNSEND and TOWNSEND and CREW LLP

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

James D. Pustejovsky et al.

Application No.: 09/954,634

Filed: September 12, 2001

For: NATURAL LANGUAGE SEARCH

METHOD AND SYSTEM FOR

ELECTRONIC BOOKS

Examiner:

Unassigned

Art Unit:

2122

SECOND INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.97 and

§1.98

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

James D. Pustejovsky

Application No.: 09/954,634

Page 2

representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that <u>no fee is required</u> for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Patrick M. Boucher Reg. No. 44,037

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, 8th Floor San Francisco, California 94111-3834

Tel: 303-571-4000 Fax: 303-571-4321

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

	Complete if Known	
Application Number	09/954,634	
Filing Date	September 12, 2001	
First Named Inventor	James D. Pustejovsky	
Art Unit	2122	
Examiner Name	Unassigned	
Attorney Docket Number	019497-000610US	

			U.S. PATENT D	OCUMENTS	
		Document Number			
Examiner	Cite No. ¹	Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	US 5,963,940	10/5/99	Liddy et al.	
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			FOREIGN PA	TENT DOCUM	MENTS		
Examiner Initials*	Cite No. ¹	Fo	oreign Patent Document Number ⁴ Kind Code ⁴ (if known)	Publication Date MM-DD- YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	AU	EP	0 386 825	12/9/90	BSO/BURO VOOR SYSTEEMONTWIKKELING B.V.		
	AV	WO	97/08604	6/3/97	Syracuse University		
	AW						
	AX		-				
	AY						
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Examiner Signature	Date Considered	

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Complete if Known
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September 12, 2001
James D. Pustejovsky
2122
Unassigned
019497-000610US

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Examiner Initials* Cite No.1						Pages, Columns, Lines, Where Relevant Passages or Relevant	
				Publication Date MM-DD- YYYY	Name of Patentee or Applicant of Cited Document		
		Country Code ³	Kind Code ⁸ (if known)			Figures Appear	Τ°
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,	Application Number	09/954,634
•	Filing Date	September 12, 2001
•	First Named Inventor	James D. Pustejovsky
	Art Unit	2122
	Examiner Name	Unassigned
	Attorney Docket Number	019497-000610US

OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS						
Examiner Cite item (book, magazine, jou		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T 2			
	вс	LI LI, et al., "Parsing Unification Categorial Grammar with Object-Oriented Knowledge," IEEE 1994, pp. 33-39				
	BD	LIDDY, ELIZABETH D., et al., "Linguistic-Conceptual Approach to Document Detection," NIST Special Publication, Gaithersburg, MD, NO. 500-207, 1993, XP-000892980, pp. 113-129				
	BE	LIDDY, ELIZABETH D., et al. "DrLink System: Phase I Summary," Proceedings of the Tripster Text Program Phase I Workshop, April 1994, pp. 93-110				
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